



Australian Government  
Australian Research Council

ARC

29 September 2021

Professor Sven Rogge  
President  
Australian Institute of Physics  
s.rogge@unsw.edu.au

cc: aip\_executive@aip.org.au

Dear Professor Rogge

Thank you for your letter of 24 August 2021 regarding concerns about Australian Research Council's (ARC) policy on preprints.

The ARC is committed to supporting excellent research in Australia and continues to deliver programs that advance Australian research and innovation globally and benefit the community.

Firstly, I would like to thank you for your participation in the preprint consultation. Your assistance was greatly appreciated by the ARC and your input on this issue is valued particularly given the rapid timeframe for the consultation.

In accordance with the *Australian Research Council Act 2001* and the Australian Government grants policy framework, all applications for ARC research grants are subject to eligibility criteria which are set out in the relevant Grant Guidelines and associated documentation published on GrantConnect for each grant opportunity.

Following consultation with key stakeholders, on 14 September 2021 I published a communique advising that the ARC would adjust its preprint requirements for all future rounds, further information is available on the [ARC website](#).

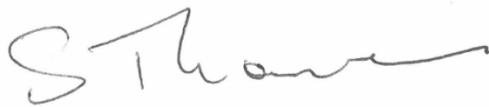
In summary, the ARC will allow the referencing and inclusion of preprints in any part of a National Competitive Grant Program (NCGP) grant application. This includes within the Research Outputs list as well as the body of an application.

This adjustment to ARC's policy position reflects contemporary trends and the emerging significance of preprint acceptance and use across multiple research disciplines as a mechanism to expedite research and facilitate open research, as well as to provide greater equity across disciplines and career stages.

While we acknowledge your feedback in relation to recently announced outcomes, the ARC will need to allow the independent appeals processes to be completed for these cases, before determining an appropriate course of action if an appeal is successful.

I trust that the above provides you with assurance that the ARC always aims to administer grants fairly and transparently for all researchers.

Yours sincerely

A handwritten signature in black ink, appearing to read 'S Thomas', written in a cursive style.

Professor Sue Thomas  
Chief Executive Officer