

# Systems of isometries

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I wish to acknowledge the people of the Kulin Nations, on whose land we are gathered today. I pay my respects to their Elders, past and present.

# Overview

- 1 Group algebras in Algebra and in  $C^*$ -algebra
- 2 Common themes in  $C^*$ -algebras
- 3  $C^*$ -algebras of semigroups, in particular, quasi-lattice ordered groups

Let  $G$  be a discrete group and let  $k$  be a field.  
In **Algebra**, the group algebra of  $G$  over  $k$  is the set

$$k[G] := \{f : G \rightarrow k : f \text{ has finite support}\},$$

with  $+$  defined pointwise and  $*$  defined by

$$(f * g)(x) = \sum_{y \in G} f(y)g(y^{-1}x).$$

Notice that  $i : G \rightarrow k[G]$  where  $i(x) = \delta_x$ .

- **Universal property of  $k[G]$** : for any group homomorphism  $\pi : G \rightarrow A^\times$  into the set of **invertible** elements of a  $k$ -algebra  $A$ , there is a unique homomorphism  $\tilde{\pi} : k[G] \rightarrow A$  such that  $\pi = \tilde{\pi} \circ i$ .
- Any algebra satisfying this property is isomorphic to  $k[G]$ .

In  **$C^*$ -algebra**, we take  $k = \mathbb{C}$ , we **complete**  $\mathbb{C}[G]$  in a norm and use representations by **unitary operators** on a **Hilbert space**.

Let  $H$  be a Hilbert space with norm  $\|h\| = (h | h)^{1/2}$ . Let  $B(H)$  be the algebra of bounded linear operators  $T : H \rightarrow H$ .

## Examples

- $H = \mathbb{C}^n$ ,  $B(H) \cong M_n(\mathbb{C})$ .
- $H = \ell^2(\mathbb{N}) = \{(x_n)_{n \in \mathbb{N}} : \sum_{n=0}^{\infty} |x_n|^2 < \infty\}$  of square-summable sequences in  $\mathbb{C}$ .
- $H = \ell^2(G) = \{f : G \rightarrow \mathbb{C} : \sum_{x \in G} |f(x)|^2 < \infty\}$ .

For each  $T \in B(H)$  there exists a unique  $T^* \in B(H)$ , called the **adjoint of  $T$**  such that  $(Th | k) = (h | T^*k)$  for all  $h, k \in H$ .

- $T \mapsto T^*$  is the  $*$  in  $C^*$ -algebra.
- $B(H)$  is the **prototypical  $C^*$ -algebra**.
- Abstract  $C^*$ -algebras  $A$  have a  $*$  and are complete in a norm such that  $\|a^*a\| = \|a\|^2$  for  $a \in A$ .

$U \in B(H)$  is **unitary** if  $UU^* = 1 = U^*U$ , that is,  $U^* = U^{-1}$ .

## Completing $\mathbb{C}[G]$ to a $C^*$ -algebra

Let  $U : G \rightarrow B(H)$  be a **unitary representation**: a homomorphism such that each  $U(x)$  is unitary.

Example: The **left-regular representation**  $\lambda : G \rightarrow B(\ell^2(G))$  where  $(\lambda_y f)(x) = f(y^{-1}x)$  for  $f \in \ell^2(G)$  is unitary.

Define  $\pi_U : \mathbb{C}[G] \rightarrow B(H)$  by

$$\pi_U(f) = \sum_{x \in G} f(x)U(x).$$

Then  $\pi_U$  is a representation, and  $\|\pi_U(f)\| \leq \sum_{x \in G} |f(x)| < \infty$ .

There is a norm on  $\mathbb{C}[G]$  defined by

$$\|f\| := \sup\{\|\pi_U(f)\| : U \text{ is a unitary representation of } G\}.$$

The **group  $C^*$ -algebra**  $C^*(G)$  is the completion of  $\mathbb{C}[G]$  in this norm.

**Universal property:**  $C^*(G)$  is generated by a unitary repn  $i$  such that for every unitary repn  $U : G \rightarrow B(H)$ , there is a unique repn  $\pi_U : C^*(G) \rightarrow B(H)$  such that  $U = \pi_U \circ i$ , and every repn of  $C^*(G)$  arises in this way.

The **reduced group  $C^*$ -algebra** is defined using the left-regular representation:

$$C_r^*(G) := \pi_\lambda(C^*(G)) = \langle \lambda_x : x \in G \rangle \subseteq B(\ell^2(G)).$$

**Obvious question:** When is  $\pi_\lambda : C^*(G) \rightarrow C_r^*(G)$  an isomorphism?

**Answer:** If and only if  $G$  is an **amenable** group (Hulanicki, 1966).

**Difficult question:** When is  $C_r^*(G)$  simple? If and only if the action of  $G$  on the Furstenberg boundary is topologically free (Kalantar–Kennedy, 2017).

For Thompson's groups  $F$  and  $T$ ,  $C_r^*(T)$  is simple if and only if  $F$  is not amenable (Haagerup–Olesen, 2017 and Bleak–Juschenko, 2018).

## Common themes

- We build a  $C^*$ -algebra from a combinatorial object to get a universal and a reduced (concrete)  $C^*$ -algebra;
- we study when the two are the same, leading to notions of amenability.
- The properties of the  $C^*$ -algebras reflect the properties of the object - so these are effective ways to build examples.
- We obtain classes to test hypotheses arising, for example, in the classification program for  $C^*$ -algebras (eg. nuclearity).

There are several different ways of norming an algebraic tensor product  $A \odot B$  of  $C^*$ -algebras and completing it to a  $C^*$ -algebra. Say  $A$  is **nuclear** if for all  $B$  there is only one  $C^*$ -norm on  $A \odot B$ . (Takesaki, 1964)

**Question:** When is  $C^*(G)$  nuclear? **Answer:** If and only if  $G$  is an **amenable** group.

## $C^*$ -algebras of semigroups

What are the appropriate representations?

$S \in B(H)$  is an **isometry** if  $\|Sh\| = \|h\|$  for all  $h \in H$ . This happens iff  $S^*S = 1$ . Often  $S$  is nonunitary:  $SS^* \neq 1$ .

**Example: the unilateral shift**

$H = \ell^2(\mathbb{N})$ ,  $S((x_0, x_1, x_2, \dots)) = (0, x_0, x_1, x_2, \dots)$ . Then  $S^*((y_n)) = (y_1, y_2, y_3, \dots)$ , and  $S^*S = 1$  and  $SS^* \neq 1$ .

The  $C^*$ -algebra generated by an isometry  $S \in B(H)$  is:

$$\begin{aligned} C^*(S) &:= \overline{\text{span}}\{\text{all products of } S, S^*\} \\ &= \overline{\text{span}}\{S^m(S^*)^n : m, n \in \mathbb{N}\}. \end{aligned}$$

**Coburn's Theorem, 1967**

Let  $a$  be an isometry in an abstract  $C^*$ -algebra  $A$  such that  $aa^* \neq 1$ , and let  $S$  be the unilateral shift on  $H = \ell^2(\mathbb{N})$ . Then there is an isomorphism  $\pi : C^*(a) \rightarrow C^*(S)$  such that  $\pi(a) = S$ .



## Quasi-lattice ordered groups

Let  $P$  be a generating submonoid of a discrete group  $G$  st  $P \cap P^{-1} = \{e\}$ . For  $x, y \in G$  define

$$x \leq y \iff x^{-1}y \in P \iff y \in xP.$$

Then  $\leq$  is a partial order on  $G$  with  $PP^{-1} = \{x \in G : x \text{ has upper bounds in } P\}$ .

### Definitions

$(G, P)$  is **lattice ordered** if any  $x, y \in G$  have a least common upper bound in  $P$ . (Equivalently, any  $x \in G$  has a least upper bound in  $P$ .)

$(G, P)$  is **quasi-lattice ordered** if any  $x, y \in G$  with a common upper bound in  $P$  have a least common upper bound  $x \vee y$  in  $P$  (Nica, 1992).

$(G, P)$  is **weakly quasi-lattice ordered** if any  $x, y \in P$  with a common upper bound in  $P$  have a least common upper bound in  $P$ .

## Lemma

$(G, P)$  is lattice ordered if and only if  $G = PP^{-1}$  and  $(G, P)$  is quasi-lattice ordered.

## Examples

- 1  $(\mathbb{Z}^2, \mathbb{N}^2)$  under  $+$ . Here  $(m_1, m_2) \leq (n_1, n_2)$  iff  $m_i \leq n_i$ . So  $(m_1, m_2) \vee (n_1, n_2) = (\max(m_1, n_1, 0), \max(m_2, n_2, 0))$ .
- 2 Let  $G$  be the free group on  $\{a, b\}$ , and  $P$  the submonoid consisting of words in  $a$  and  $b$ . Then  $x, y \in P$  and  $x \leq y$  means that  $x$  is an initial segment of  $y$ , and the rest of  $y$  has no factors of  $a^{-1}$  or  $b^{-1}$ . Here  $x \vee y = \infty$  often.

## Motivating example

Let  $0 \neq c, d \in \mathbb{Z}$ . Consider the **Baumslag–Solitar group**:

$$G = \langle a, b : ab^c = b^d a \rangle.$$

Let  $P$  be the submonoid generated by  $a$  and  $b$ .

### Lemma (Spielberg, 2012)

If  $c, d \in \mathbb{N}^\times$ , then  $(G, P)$  is quasi-lattice ordered. If  $c, d$  have opposite signs, then  $(G, P)$  is weakly quasi-lattice ordered.

For simplicity, assume that  $c, d \in \mathbb{N}^\times$ . Crucial in the proof is that  $G$  is an **HNN extension** of  $\mathbb{Z}$ , and hence each  $x \in G$  has a unique **normal form**.

Write  $\theta : G \rightarrow \mathbb{Z}$  for the **height map**, the homomorphism such that  $\theta(a) = 1$  and  $\theta(b) = 0$ . If  $x \in P$  and  $k = \theta(x)$ , then

$$x = b^{s_0} a b^{s_1} \dots b^{s_{k-1}} a b^{s_k}.$$

where each  $0 \leq s_0, \dots, s_{k-1} < d$  and  $s_k \geq 0$ .

- $a^2 b^{42} = b^0 a b^0 a b^{42}$
- $b^d a = a b^c = b^0 a b^c$
- If  $0 \leq n < d$ , then  $b^{n+d} a = b^n a b^c$ .

Spielberg's proof that the B–S group is quasi-lattice ordered uses that  $(G, P)$  is quasi-lattice ordered iff (Crisp-Laca, 2002):

if  $x \in PP^{-1}$ , then there exist a pair  $\alpha, \beta \in P$  with  $x = \alpha\beta^{-1}$  such that  $\gamma, \delta \in P$  and  $\gamma\delta^{-1} = \alpha\beta^{-1}$  imply  $\alpha \leq \gamma$  and  $\beta \leq \delta$ . (The pair  $\alpha, \beta$  is unique.)

## Example: Thompson's group

$$F = \langle \{x_i : i \in \mathbb{N}\} : x_i x_k = x_k x_{i+1} \text{ for } i > k \geq 0 \rangle.$$

Let  $P$  be the submonoid generated by  $\{x_i : i \in \mathbb{N}\}$ . Then  $(F, P)$  is quasi-lattice ordered (Nucinkis, 2017), and since  $F = PP^{-1}$  is lattice ordered. This follows from a normal form for elements of  $F$ .

### Idea of normal form

If  $\alpha \in P$ , write it uniquely as a product of increasing generators  $\alpha = x_{n_1}^{\epsilon_1} \dots x_{n_j}^{\epsilon_j}$  where  $n_1 < \dots < n_j$ . If  $x \in F$ , the relation  $x_k^{-1} x_i = x_{i+1} x_k^{-1}$  implies that  $x \in PP^{-1}$ . Then we look for gaps and reduce further: Example:

$$x_3 x_5 x_7 (x_4 x_5 x_9 x_{11})^{-1} = x_3 x_6 x_5 (x_4 x_8 x_{10} x_5)^{-1} = x_3 x_6 (x_4 x_8 x_{10})^{-1}.$$

The normal form for  $x \in F$  is given by the unique pair  $\alpha, \beta \in P$  such that  $x = \alpha\beta^{-1} \in PP^{-1}$  and  $x = \gamma\delta^{-1} \in PP^{-1}$  implies  $\alpha \leq \gamma$  and  $\beta \leq \delta$ .

## $C^*$ -algs of a quasi-lattice ordered grp

Take  $\ell^2(P)$  with orthonormal basis  $\{e_x : x \in P\}$  the point masses. For each  $x \in P$ , there is an isometry  $T_x$  on  $\ell^2(P)$  such that  $T_x e_y = e_{xy}$  for  $y \in P$ . Then  $T$  is an isometric repn of  $P$  on  $\ell^2(P)$  called the **Toeplitz repn**. The **Toeplitz algebra** is the  $C^*$ -algebra generated by the Toeplitz repn, that is,

$$\mathcal{TC}^*(P) := \langle \{T_x : x \in P\} \rangle \subseteq B(\ell^2(P)).$$

Nica observed that

$$T_x^* T_y = \begin{cases} T_{x^{-1}(x \vee y)} T_{y^{-1}(x \vee y)}^* & \text{if } x \vee y < \infty \\ 0 & \text{if } x \vee y = \infty, \end{cases} \quad (1)$$

and we call isometric repns satisfying (1) **Nica covariant**. It follows that  $\mathcal{TC}^*(P) = \overline{\text{span}}\{T_x T_y^* : x, y \in P\}$ .

There is a  $C^*$ -algebra  $C^*(P)$  which is universal for Nica covariant representations.

Say  $(G, P)$  is **amenable** if  $\pi_T : C^*(P) \rightarrow \mathcal{T}C^*(P)$  is an isomorphism.

**Questions:** When is  $C^*(P)$  nuclear and when is  $(G, P)$  amenable?

**Answer:** If  $(G, P)$  is weakly quasi-lattice ordered, then  $C^*(P)$  nuclear implies  $(G, P)$  is amenable (X. Li, 2017). We have some sufficient conditions for nuclearity and/or amenability.

## Propn/Examples (Nica, 1992)

- If  $G$  is an amenable group, then  $(G, P)$  is amenable.
- Let  $\mathbb{F}_2$  be the free group on  $\{a, b\}$  and let  $\mathbb{F}_2^+ = \langle a, b \rangle$ . Then  $(\mathbb{F}_2, \mathbb{F}_2^+)$  is amenable.

## Tease

If  $(G, P)$  is lattice ordered and an amenable quasi-lattice ordered group, then  $G$  is an amenable group (Crisp-Laca).

- So Thompson's group  $F$  is amenable as a group if and only if  $(F, P)$  is amenable as a quasi-lattice ordered group.

## Theorem (Clark-aH-Raeburn, 2016)

The Baumslag-Solitar  $(G, P)$  is amenable.

To prove this we had to generalise the proof of:



## Generalised length fns

### Theorem (Laca-Raeburn, 1996)

Let  $(G, P)$  and  $(K, Q)$  be quasi-lattice ordered groups and let  $\theta : G \rightarrow K$  be “controlled”. If  $K$  is an amenable group, then  $(G, P)$  is an amenable quasi-lattice ordered group.

**Controlled** means:  $\theta$  is an order-preserving group homomorphism such that for  $x, y \in P$  with  $x \vee y < \infty$ :

- 1  $\theta(x) \vee \theta(y) = \theta(x \vee y)$ ;
- 2  $\theta(x) = \theta(y) \Rightarrow x = y$ .

### Example

Let  $\mathbb{F}_2$  be the free group on  $\{a, b\}$ . Let  $\theta : \mathbb{F}_2 \rightarrow \mathbb{Z}$  be the homomorphism such that  $\theta(a) = 1 = \theta(b)$ . Then  $\theta : (\mathbb{F}, \mathbb{F}^+) \rightarrow (\mathbb{Z}, \mathbb{N})$  is a controlled map.

**Example: Baumslag-Solitar**  $G = \langle a, b : ab^c = b^d a \rangle$

The height map where  $\theta(a) = 1$ ,  $\theta(b) = 0$  is not controlled because  $e \vee b^n < \infty$  and  $\theta(e) = 0 = \theta(b^n)$  for  $n \in \mathbb{N}$ .

Ideas from the proof that the Baumslag–Solitar  $(G, P)$  is amenable led to a new notion of “controlled map”.

### New “controlled” map (aH–Raeburn–Tolich, 2018)

Let  $(G, P)$  and  $(K, Q)$  be weakly quasi-lattice ordered groups, and  $\theta : G \rightarrow K$  be an order-preserving group homomorphism st

- 1 For  $x, y \in P$  with  $x \vee y < \infty$ , have  $\theta(x) \vee \theta(y) = \theta(x \vee y)$ .
- 2 Let  $q \in Q$ . Set  $\Sigma_q = \{\sigma \in \theta^{-1}(q) \cap P : \sigma \text{ is minimal}\}$ .
  - a. If  $x \in \theta^{-1}(q) \cap P$ , then there exists  $\sigma \in \Sigma_q$  such that  $\sigma \leq x$ .
  - b. If  $\sigma, \tau \in \Sigma_q$ , then  $\sigma \vee \tau < \infty \Rightarrow \sigma = \tau$ .

**Example: Baumslag–Solitar  $G = \langle a, b : ab^c = b^d a \rangle$**

Let  $\theta : (G, P) \rightarrow (\mathbb{Z}, \mathbb{N})$  be  $\theta(a) = 1, \theta(b) = 0$ . Here

$$\theta^{-1}(q) \cap P = \{b^{s_0} a b^{s_1} \dots b^{s_{q-1}} a b^{s_q} : 0 \leq s_0, \dots, s_{q-1} < d, s_q \in \mathbb{N}\}$$
$$\Sigma_q = \{b^{s_0} a b^{s_1} \dots b^{s_{q-1}} a : 0 \leq s_0, \dots, s_{q-1} < d\},$$

and  $\theta$  is a controlled map.

## Theorem (aH–Nucinkis–Sehnem–Yang, 2019)

Suppose that  $\theta : (G, P) \rightarrow (K, Q)$  is controlled (in a new technical sense) and that  $K$  is an amenable group. If  $C^*(\ker \theta \cap P)$  is nuclear, then  $C^*(P)$  is nuclear.

### Very rough proof idea:

Use  $\theta$  to construct a coaction  $\delta_\theta : C^*(P) \rightarrow C^*(P) \otimes_{\min} C^*(K)$  and study the structure of the “fixed-point algebra”

$$\{a \in C^*(P) : \delta_\theta(a) = a \otimes e\} = \overline{\text{span}}\{i_x i_y^* : \theta(x) = \theta(y)\}$$

of the coaction. The amenability of  $K$  means that certain properties of the fixed-point algebra transfer to  $C^*(P)$  (for example, nuclearity!).

### Example: bootstrapping using the theorem

Let  $G = \langle a, b : ab^c = b^d a \rangle$ . The height map  $\theta : (G, P) \rightarrow (\mathbb{Z}, \mathbb{N})$  is controlled. Then  $\ker \theta \cap P = \{b^t : t \in \mathbb{N}\} \cong \mathbb{N}$  and  $C^*(\mathbb{N})$  is nuclear. So  $C^*(P)$  is nuclear and  $(G, P)$  is amenable.

## Example (tease)

Let  $F$  be the Thompson group and  $\theta : (F, P) \rightarrow (\mathbb{Z}, \mathbb{N})$  be the homomorphism such that  $\theta(x_0) = 1$  and  $\theta(x_i) = 0$  for  $i \geq 1$ . Here  $\theta$  is a controlled map! But....  $\ker \theta \cap P = \langle x_1, x_2, \dots \rangle \cong P$ .

We have a more general notion of controlled map which deals with the infinite descending chains appearing in the Baumslag–Solitar group where  $c, d$  have opposite signs.

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